Table A2.—Smoking and chronic obstructive pulmonary disease symptoms'—percent prevalence (cont.)

(Numbers in parentheses represent total number of individuals in particular smoking group)

SM = Smokers. NS = Nonsmokers. EX = Ex-smokers.

Author, year, country, reference	Number and type of population	Cough		Sputum production	Breathlessness or dyspnea	Chest illnesses	Other	Comments
Wynder	315 male	New York Cit	y					
et al., 1965 U.S.A. (238).	patients in New York City and 315 male patients in California.	NS	(44) (54) (44) (88) (85) (69) (32) (54) (91) (69)					
Freour et al., 1966 France (92).	1,055 randomly chosen males in Bordeaux 30-70 years of age.						Clinical signs of bronchitis and respiratory insufficiency NS 25.4 (45) SM 54.4 (478)	
Haynes, et al., 1966 U.S.A. (108).	179 male preparatory school students 14-19 years of age.		- 44 2 0, b. cm				Average number of severe respiratory illnesses per 10 students (adjusted for age) NS 0.36 All smokers 2.30 Heavy SM 3.34	Heavy smoker— more than 10 ciga- rettes per day.

Table A2.—Smoking and chronic obstructive pulmonary disease symptoms!—percent prevalence (cont.)

(Numbers in parentheses represent total number of individuals in particular smoking group)

SM = Smokers. NS = Nonsmokers. EX = Ex-smokers.

Author, year, country, reference	Number and type of population	Cough	Sputum production	Breathlessness or dyspnea	Chest illnesses	Other	Comments
Densen	5,313 male	Postal	Postal	Postal			Dyspnea
et al.,	and 7,291	NS 7.0 (903)	13.1	19.8			represented
1967, U.S.A.	female postal and transit	Pipe, cigar 12.4 (628) Cigarettes	17.4	24.8			by Grade II only.
(68).	workers.	only27.0(2,687)	28.9	31.7			******
, ,		Transit	Transit	Transit			
		NS 6.4(1,012)	9.5	11.7			
		Pipe, cigar 10.5 (765) Cigarettes	14.1	14.2			
		only23.5(3,745)	23.7	21.9			
Higgins	926 white	NS15.4 (162)	NS 31.1	NS 5.0			
et al.,	male resi-	SM47.2 (513)	SM46.2	SM10.7			
1968,	dents of	EX19.3 (144)	EX28.5	EX16.8			
U.S.A.	Marion						
(118).	County, West						
	Virginia,						
	26-69 years						
	of age.						
Holland	9,786 male	Males	Females	Males Females			
and	and female	NS 3,8(1,900)	3.2(3,137)	2.4 2.1			
Elliott,	school	SM 6.3(1,098)	6.3 (554)	6.1 8.3			
1968,	children.	EX 2.9 (1,782)	4.3(1,151)	3.9 4.2			
England		<1 cigarette/day	5.8 (876)	5.8			
(121).		1–2	6.5 (417)	8.4			
		3-4	5.6(124)	8.1			
		>5	9.9(142)	18.3			

Table A2.—Smoking and chronic obstructive pulmonary disease symptoms'—percent prevalence (cont.) (Numbers in parentheses represent total number of individuals in particular smoking group) SM = Smokers. NS = Nonsmokers. EX = Ex-smokers.

				SM — SII	TOKET B.	MD 1401	ismoreis.	1371 -	- Ex-smokers.		
Author, year, country, reference	Number and type of population		Cough		Sputum	production	Breathl or dys		Chest illnesses	Other	Comments
Gandevia	762 male and		Males								Productive
1969	1,304 female	NS	10.3	(234)							cough upon
Australia	patients	SM		(528)							request.
(93).	from 13 general		Females								
	practices	NS									
	in all parts of Australia.	SM	37.4	(447)							
Rimington	41,729 male									Age-adjusted total	Cigarette
1969	and 22,295									prevalence of	dosage
England	female persons									chronic bronchitis	gradient
(193).	participating									Males	significant
	in mass									NS 5.1 (9,055)	to $p < 0.001$.
	miniature									EX 9.8 (6,510)	
	radiography									Pipe 9.0 (2,921)	
	screening.									Cigarettes (23,243)	
										1- 9 9.1	
										10-19 15.0	
										>2020,6	
										Females	
										NS 3.4(12,351)	
										EX 3.8 (959)	
										Pipe 0.0	
										Cigarettes (8,985) 1-9 5.1	
										10-19 10.6	
										>2018.5	
Wilhelmsen										Chronic bronchitis	
et al.,	50-54 years									NS 1.0 (88)	
1969,	of age randomly									EX 3.0 (67)	
Sweden	sampled from									1-14 grams/	
(231).	population of Göteborg.									day 5.0 (94) >15 17.0 (64)	
	or Goteborg.									/101(.0 (04)	

TABLE A2.—Smoking and chronic obstructive pulmonary disease symptoms'—percent prevalence (cont.)

(Numbers in parentheses represent total number of individuals in particular smoking group)

SM — Smokars NS — Norsmokars EX — Exemplars

			SM = Smokers	. NS	$\mathbf{S} := \mathbf{Nonsmo}$	okers. EX	$\zeta = \text{Ex-smokers}.$		
Author, year, country, reference	Number and type of population	Cough	Sı	outum prodi	iction	Breathlessness or dyspnea	Chest illnesses	Other	Comments
Lambert	9,975 male	Pers	istent cough an	d phlegm					
and	and female		Malcs						
Reid,	responders	Age	Agc	Agc	Agc				
1970,	to a postal	35 45	45-55	55-65	65-69				
England	survey	NS 7 (227)	6(200)	11(171)	7 (61)				
(146).	(4,688 males	EX 7 (303)	11 (358)	15 (335)	18(148)				
	and 5,287	$<20 \dots 15(521)$	22 (488)	30(490)	37 (139)				
	females	2023 (191)	28 (204)	32 (149)	38 (37)				
	35-69 years	>2027 (148)	28 (136)	42 (121)	25 (12)				
	of age).		Females	•					
		NS 3 (500)	4 (637)	5(925)	6 (21)				
		EX 3(127)	8(128)	7 (94)	7 (41)				
		<20 9 (602)	13(472)	16(306)	11 (65)				
		2016(128)	27 (122)	31 (77)	14 (7)				
		>2023 (22)	26 (39)	43 (7)	(1)				
Lefcoe	310 male							Age-standardized rates	Excluded from
and	physicians							of chronic respiratory	ex-smokers
Wonnacott,	in London							disease	are those
1970,	and Ontario,							NS 1.0 (88)	cigarette
Canada	25-74 years							EX 5.0 (61)	smokers who
(151).	of age.							SM34.0 (101)	now smoke
								Pipe, cigar 12.0 (33)	pipes or cigars.

Data collected by either direct interview, questionnaire, review of medical records and/or medical examination.

Table A2a.—Smoking and chronic obstructive pulmonary disease symptoms!—percent prevalence

(Numbers in parentheses represent total number of individuals in particuar smoking group)

SM = Smokers.

NS = Nonsmokers.

EX = Ex-smokers.

Author, year, country, reference	Number and type of popuation	Cough			Bronchitis		Comments
Cederlof et al., 1966, Sweden (46).	9,319 twin pairs registered in Sweden of 12,889 available.	Observed	Aypermorbidity ratio 2.6 2.8 1.9 1.8 2.25 2.57	Observed expected cases 157/50.8 43/11.2 6.6/ 1.1 3.0/ 2.3 4.5/ 1.8 5.5/ 1.8	Hypermorbidit ratio 3.1 3.8 6.0 (274) 1.33 (264) 2.54 (733) 3.0 (653)	Explanation of analyses for respiratory symptom prevalence: Group A analysis—using each firstborn twin as one group in an unmatched relationship to each secondborn twin. Group B analysis—using each twin set as matched pair. All comparisons in Groups A and B are between smoking-discordant pairs.	All ex-smokers included with smokers. MZ—monozygotic pairs DZ—dizygotic pairs Author concludes that since hypermorbidity for smoking persists in smoking-discordant MZ population, a casual relationship of smoking and bronchopulmonary symptoms is supported.
Cederlof et al., 1969, U.S.A. (45).	4,379 twin pairs (all U.S. veterans) in U.S. National Academy of Sciences Twin Registry (of 9,000 avial- able).	Prevalence	6.4 3 15.3 27.7 7.1 NS	symptoms SM 5.4 9.8	1.8 4	Group A—as above, Group B—as above, M .8	No ex-smokers included in Group B analysis. The authors conclude that the data indicate a strong probability of a causal connection with smoking. Even these symptoms, however, seem to be influenced by genetic factors.

Data collected by either direct interview, questionnaire, review of medical records and/or medical examination.

Author, year, country, reference	Number and type of population	MBC	EFR		FEV		vc	Miscellaneous	Comments
Chivers, 1959, England (52).	463 malc employees of alkaline industry plant.	Cigarettes/day: 0-5	89 (50)	Height- 66'' 91 (35) 88 (75) 88.5 (9)	68'' 108 (31) 101 (112) 92.5 (9)	70'' 101(21) 109(75) 113(12)			†Mean EFR in liters per minute. Regression analysis of data revealed a significant re- lationship between smoking and de-
Higgins et al., 1959, England (116).		25-34 55-64 NS 145 (56) 101 (29 EX 143 (31) 89 (62 1-14 grams .140 (193) 87 (157 >15 grams .133 (89) 80 (136)						FEV _{0.75} expressed as mean indirect MBC.
Wilson et al., 1960 U.S.A. (232).	residents of Dallas, Texas, former rural dwellers; matched for body surface, age, and height.							RV/TLC 14) NS 21.1 14) SM ² 27.0	

Author, year, country, reference	Number and type of population	MBC	EFR	FEV		vc	Miscellaneous	Comments
Ashford et al., 1961, Scotland (11).	4,014 male coal workers at 3 Scottish collieries.			21-30 .3.86 (182) 31-40 .3.44 (138) 41-50 .3.04 (110) 51-60 .2.71 (102)	SM 3.96 (280) 3.77 (555) 3.88 (777) 2.96 (755) 2.56 (610) 2.21 (237)		Data represent results after correction for sitting height. SM includes pipe smoker. Data on ex-smoker not included. FEV _{1.0} found significant; lower for SM than NS.	
Fletcher and Tinker, 1961, England (85).	363 male London transport employees,		Mean peak NS 1-14 grams >15 grams EX	k EFR 570 (30) 537(156) 528(116) 555 (61)				
Franklin and Lowell, 1961, U.S.A. (87).	213 male factory workers 40-60 years of age.			FEV _{1.0} FEV _{0.25} 0.25 Heavy 2,670 3,011 Light 12,489 22,656	2,710 Light	3,703 (59) .13,578 (104)		Heavy smoker represents an amount equal to or more than 30 pack years.

TABLE A3.—Smoking and ventilatory function (cont.) (Numbers in parentheses represent total number of individuals in particular smoking group) NS = Nonsmokers. SM = Smokers. EX = Ex-smokers.

Author, year, country, reference	Number and type of population	MBC	EFR	FEV	$\mathbf{v}\mathbf{c}$	Miscellaneous	Comments
Balchum	1,451 male		MMEFR				Data for: MMEFR
et al.,	employees		NS 15.5 (38)	7.8(19)			given as percent
1962,	in		Pack/year:				of individuals
U.S.A.	California		<1 15.0 (257)	8.0			with a value of
(24).	light indus-		1-9 10.0 (263)	6.0			<500 L/M;
	try.		10-19 10.0 (303)	12.0			FEV _{1.0}
			20-29 19.0 (236)	24.0			given as percent
			30-39 33.0 (144)	26.0			of individuals
			40-49 38.0 (92)	40.0			with value of
			50-59 55.0 (29)	45.0			<70 percent
			>60 71.0 (24)	62.0			of expected.
Goldsmith	3,311 active		MEFR	FEV _{1.0}			Authors concluded
et al.,	or retired		NS313.63(250)	2.99			that cigarette
1962,	longshore-		Pipe, cigar 299.26 (125)	2.80			smoke was found
U.S.A.	men.		EX 295.23(102)	2.84			to have a slight
(95).			Cigarettes/day:				effect on
			$\leq 20 \ldots 309.73(144)$	2.89			pulmonary
			20-40 303.44(346)	2.91			function.
			≥40 307.63 (57)	2.90			
Martt,	73 healthy					D_L^{CO}	Smokers defined
1962,	medical per-					NS 33.10(30)	as those smoking
U.S.A.	sonnel with-					$SM < 5 \text{ years } .^228.40 (8)$	
(161).	out signifi-					5-10 years 328.20(10)	
	cant age					>10 years 524.90(25)	maniada
	difference					/10 JC415 24.00(20)	
	between						
	smokers and						
	nonsmokers.						

Table A3.—Smoking and ventilatory function (cont.)
(Numbers in parentheses represent total number of individuals in particular smoking group) NS = Nonsmokers.SM = Smokers. $\mathbf{E}\mathbf{X} = \mathbf{E}\mathbf{x}$ -smokers.

				145 - Hollsmokers	. 514 -	Smokers.	24	DA	-SHIOK	10.				
Author, year, country, reference	Number and type of population	 мвс		EFR		FEV			v	С	Miscell	aneous		Comments
Revotskie et al., 1962, U.S.A. (192).	1,130 male and 1,813 female residents in Framing- ham par- ticipating in the pro- spective study.				Cigaret 1–10 10–29	Mates0.98 (55) tes/day:0.97 (90)	0.98 0.99 0.93	males (255) (92) (157) (22)					1	Data presented in terms of ratio of observed to predicted values.
Krumholz et al., 1964, U.S.A. (140).	18 physicians 24-37 years of age.			MEFR NS 580 SM 1590	(9)						Rest Exercise: 2 minutes 4 minutes 3 minutes post exerci	Mean NS 36 50 50	³ D _L SM ² 31 ³ 41 ¹ 43	
Zwi et al., 1964, U.S.A. (241).	20 medical students or graduate physicians.	187	(10) (10)	MMEFR 4.34 15.09	5. ¹ §.	77 53					Authors four a significan between SM NS for RV compliance elastic resi	t differe I and /TLC, e, and no		
Coates et al., 1965, U.S.A. (53).	1,342 male and 242 female post office employees >40 years of age.				45-49 50-54 55-59	NS 12,99 (186)		(69) (42) (22) (18)	Tin NS 3.89 3.92 3.71 3.54 3.30	ned VC' >25/day 3.85 3.83 3.74 3.61 3.33	FEV _{1.0} ' NS 3 0.77 3 0.74 2 0.74 5 0.74 1 0.72	/VC >25/dan 0.74 0.70 0.70 0.68 0.70	y	

TABLE A3.—Smoking and ventilatory function (cont.)

(Numbers in parentheses represent total number of individuals in particular smoking group)

NS = Nonsmokers. SM = Smokers. EX = Ex-smokers.

Author, year, country, reference	Number and type of population	MBC	EFR]	FEV	= Ex-smo	rc		Miscellar	ieous	Comments
Huhti, 1965, Finland (126).	653 male and 823 female residents of a rural region in	NS	Males 569 (122) 551 (141)	FR† Females 410 (709) 403 (30)	FE Males 3.46 3.39	Females 2.42 2.32		ed VC‡ Females 3.18 3.19				Pipe and cigar smokers not included. † Difference
	Finland.	1-14 15-24 >25	537(1911)	431 (77) 493 (7)	3.17 3.30 3.08	2.74 2.82	4.40 4.51 4.26	3.53 3.50				between NS and >25/day is significant for 45-49, 60-64 age groups. ‡ Trend is not statistically
Krumholz et al., 1965, U.S.A. (142).	20 male medical students or graduate physicians.								SM	Compliance	0.241 (10) 0.177 (10) e/FRC 0.054	significant. Mean body surface area for 2 groups
enkin et al., 1965, U.S.A. (189).	125 males without a past history of respira- tory disease 20-63 years of age.	NS 118.1 (68) SM 1111.7 (57)		NS SM		FEV _{1.0} 106.6 ¹ 102.7				D _L 31.1325.9	alveolar volume 6,22	pack year history NS includes pipe and cigar smoker and ex-smokers of greater than 1 pack year. L values have been corrected for COHb.

Author, year, country, reference	Number and type of population	MBC	EFR	FEV	vc	Miscellaneous	Comments
Edelman et al., 1966, U.S.A. (73).	410 male community dwellers 20-103 years of age.	NS 164(152) Current cigarette smokers 5151(118) EX 157 (93)	7.89 7.86 8.09	FEV _{1.0} 2.83 3.64 2.80	Vital capacity 4.93 3 4.74 4.77		Ex-smokers of cigarettes only. Difference signifi- cant between NS and current cigarette smokers
		Pipe, cigar 167 (47)	8.20	2.91	5.08		at p<0.01.
Peters and Ferris, 1967, U.S.A. (182).	124 male college age students.		MEFR NS210.28 (41) Moderate10.06 (54) Heavy9.64 (29) EX9.48 (10)	FEV 1.0 4.63 4.59 4.43 4.74		FEV _{1.0} /VC 287.5 85.3 83.9 83.2	Heavy smoker refers to greater than or equal to 4 pack years. Moderate smoker includes pipe and cigar smokers. Difference between NS and heavy smoker is significant.
Higgins et al., 1968, U.S.A. (118).	926 white male residents of Marion County, West Virginia, 20-69 years of age.		EX . Cigare 1-14 15-24	FEV ₁₋₀			

Author, year, country, reference	Number and type of population	MBC	EFR	FEV		vc		Miscella	neous	Comments
Sluis- Cremer and Sichel, 1968. South Africa (208).	533 white male factory workers over 35 years of age.	NS 553 (106) 527 (y Grams/day: rs 1-14 557 (26) 519 5 15-24 532 (94) 446	45-54 527 (101) 519 (17) 446 (35) †494 (31)	>55 444(27) 410 (7) 401(13) †380(10)	3.70 3.22 3.64 3.31 3.66 2.94	>55 2.76 2.24 2.28 †2.12			1 cigarette = 1 gram. 1 ounce tobacco = 26 grams. 1 cigar = 2 to 5 grams. † Derived slopes found significantly different from 0.	
Stanescu et al., 1968, Rumania (212).	87 male bus drivers; 27 aged 20-25, 60 aged 40-60, all without respiratory symptoms.		NS SM	Younger 5 4,470(14)		5,125 4	Older 1,290 1,290	Nitrogen g Younger 1,53 11.47	gradient Older 2.49 53.77	
Densen et al., 1969, U.S.A. (69).	5,287 male postal and 7,213 male transit workers in New York City.		NS All cigarette <25 grams/day ≥25 grams/day NS All cigarette <25 grams/day		FEV _{1.0} Postal White 3.29 (685) 3.11(2,340) 3.14(1,292) 3.06(1,038) Transit White 3.39 (620) 3.11(2,941) 3.15(1,929)	2.94 (*) 2.95 (f) 2.93 (f) Non-w. 3.08 (2) 2.99 (1,0)	204) 768) 599) 161) hite 298)			FEV expressed as standardized for specified postal and transit workers at age 45 and at sitting height of 35 inches. Includes mixed smokers.
M					3.39 (620)	3.08 (2	298) 041) 891)			

Author, year, country, reference	Number and type of population	MBC	EFR		FEV		vc	Miscellaneous	Comments
Rankin et al., 1969, Australia (190).	60 male and 10 female patients with chronic alcoholism 26-66 years of age.				FE ⁴ 97.5				FEV expressed as percent of predicted value for age, sex, and height.
Wilhelmsen	313 male				PEFR	FEV _{1.0}	vc		1963 values only.
et al.,	residents		NS	· • • • • • • • • • • • • • • • • • • •	525 (88)	3.77	4.83		
1969,	of Göteburg		EX		539 (67)	3.69	4.77		
Sweden	50-54 years		1-14 grams/da	ly	521 (94)	3,62	4.83		
(231).	of age.		>15 grams/da	у	492 (64)	3.39	4.56		
Lefcoe	310 male	****	MMF	R	FE	V _{1.0}			MMFR has been
and	physicians		NS	4.09 (88)	3.3	9			standardized for
Wonna-	of London,		Cigarette						age and height.
cott,	Ontario.		smokers.	3.64(101)	3.1	_			
1970,				3.99 (61)	3.3				
Canada (151).			Pipe, cigar	4.17 (33)	3.1	7			

Author, year, country, reference		FEV	Miscellaneous	Comments
Lundman, 1966, Sweden (159).	37 MZ and 62 DZ twin pairs selected from Swedish Twin-Pair Registry.	FEV _{1.0} Significant differences between smoking discordant twin pairs found for: 1. Group A MZ males and females. 2. Group B DZ males. 3. Group A DZ males.	N ₂ washout gradient Significant differences between smoking discordant twin pairs found for: Group B DZ males.	MZ = monozygotic. DZ = dizygotic. The author concludes that the degree of ventilation as measured by N ₂ washout was correlated with cigarette consumption. The FEV _{1.0} was significantly lower for smokers and there was a correlation with cigarette consumption. Explanation of analyses for respiratory symptom prevalence: Group A analysis—using each firstborn twin as one group in an unmatched relationship to each secondborn twin. Group B analysis—using each twin set as matched pair. All comparisons in Group A and B are between smoking-discordant pairs.

 $^{^1}$ Not significant (difference or trend). 2 p<0.05 3 p<0.01 4 p<0.005 5 p<0.001

TABLE A4.—Glossary of terms used in tables and text on smoking and ventilatory function

Symbol	Term	Volume or rate	Definition
MVVMaxir	acity.	Liters	The maximal volume of gas that can be breathed in one minute.
PEFRPeak MEFRMaxir MMEFRMaxir	expiratory flow rate. nal expiratory flow rate.	.Liters/minute	Rate of flow for a specified portion of a forced expiration (MMEFR-rate of flow measured for middle half of FVC).
FEV _t Force	d expiratory ame.	Liters	Volume expired within a specified time interval. (FEV _{1.0} —volume expired in first second of expiration.)
VCVital		Liters	Maximal volume of a gas that can be expelled from the lungs by forceful effort following a maximal expiration.
FEV _t /VC Force	d expiratory ime/vital capacity.	Percent	Volume of forced expiration (in time specified) related to vital capacity,
D _L Pulmo	onary diffusing acity.	ml/min/mmHg	The ability of a chosen gas to pass from the alveolus to within the pulmonary capillary.
N ₂ washout Nitroggra	gen washout dient.	Exponential curve.	The stepwise pulmonary alveolar clearance of a gas. (Slope of curve depends upon the uniformity and adequacy of ventilation of all parts of the lung.) It may be done as a single—or multiple—breath procedure.
Comp	liance	.Liters/CMH ₂ 0	Volume change of the lung produced by a unit pressure change.
RVResidu	al volume	.Liters	Volume of gas remaining in the lungs at the end of a maximal expiration.
TLCTotal	lung capacity	.Liters	Volume of gas contained in the lungs at the end of a maximal inspiration.
FRCFunct	ional residual	Liters	Volume of gas remaining in the lungs at the resting expiratory level.
A 1	la u valuma	Litave	Volume of gas contained in pulmonary alveoli.

Table A6.—Epidemiological studies concerning the relationship of air pollution, social class, and smoking to chronic obstructive bronchopulmonary disease (COPD)

Author, year, country, reference	Number and type of population	Results			
Higgins, 1957, England (112).	301 males and 280 females living in 2 separate districts. (45-64 years of age.)	Male data only (170): (a) The frequency of recurrent chest illnesses was high er in the more polluted region but the prevalence o other respiratory symptoms and mean values wer similar. (b) Significant difference observed in COPD mortality rate.			
College of T87 males and General 782 females Practitioners, age from medical doctors England (55).		 (a) Male urban inhabitants manifested almost twice the prevalence of chronic bronchitis as rural males; this difference could not be explained on the basis of smoking habits. (b) No significant urban/rural differences noted for PEFR.¹ (c) No significant urban/rural differences noted for COPD symptoms among females. 			
Ferris and Anderson, 1962, U.S.A. (81).	1,219 males and females living in 3 different areas of a New Hampshire town.	Following adjustment for differences in smoking habits, no significant differences in chronic bronchitis were observed among the 3 pollution areas.			
Mork, 1962, U.S.A. (171).	339 male trapsport employees from London and Norway.	The excess prevalence of serious respiratory symptoms (dyspnea, wheezing) and PEFR dysfunction among London Transport employees was only partly eliminated after standardization for smoking, and the author suggests that this is due to differences in air pollution levels.			
Schoettlin, 1962, U.S.A. (204).	2,622 males 45-75 years of age.	 (a) No positive correlation found between chronic respiratory illness and city size. (b) A positive correlation was found between chronic respiratory illness and cigarette smoking (particularly duration). 			
Anderson et al., 1965, Canada (8).	778 residents of Berlin, N.H., and 918 residents of Chilliwack, Canada.	Berlin, New Hampshire, has higher SO ₂ and particulate air pollution levels and the higher respiratory disease prevalence rates among its residents were not accounted for by age differences, but were accounted for after standardization for smoking habits (except that PEFR and FEV _{1.0} dysfunction was more prevalent in New Hampshire, and the authors suggest that this difference reflects air pollution differences).			
Holland 676 male transport and employees in Reid, London and rural 1965, England. England		 (a) London employees manifested a greater prevalence of COPD symptoms and PEFR dysfunction than did the rural employees. (b) Smoking habit differences alone were not sufficient to explain this difference in COPD manifestations. (c) Both groups manifested pulmonary dysfunction correlated with tobacco consumption. 			
Bates 216 hospitalized et al., veterans from 1966, various areas of Canada Canada (all (27). standardized for age, tobacco consumption, and occupation).		Winnipeg (cleanest of all areas in SO ₂ and industrial dustfall) residents manifested decreased prevalence of chest illnesses, less severe grades of dyspnea, and less sputum volume produced when compared to residents of all other areas.			

Table A6.—Epidemiological studies concerning the relationship of air pollution, social class, and smoking to chronic obstructive bronchopulmonary disease (COPD) (cont.)

Author, year, country, reference	Number and type of population	Results			
Ashley, Standardized 1969, mortality England ratios for (12). males (1958-63) for 53 boroughs with air pollution indexes.		Positive correlations: (a) Smoke concentration and bronchitis mortality. (b) SO ₂ and smoke concentration and bronchitis mortality and social class. (c) Pollution and social class.			
Holland et al., 1969, England (122).	10,971 children over 11 years of age in 4 areas.	Factors affecting prevalence of respiratory symptoms: (a) Smoking—highly significant association. (b) Area of residence (pollution)—significant association except for periods of cough and phlegm lasting more than 3 weeks. (c) Social class, age, sex—no association noted.			
winkelstein 842 females and over 25 years of Kantor, age in various 1969, regions of U.S.A. Buffalo. (253),		 (a) The increased prevalence of respiratory symptoms could not be explained by social class differences. (b) No overall association noted between productive cough and air pollution. 			
Cooley and Reid, 1970. England (58).	10,887 children 6-10 years of age from con- trasting urban and rural areas.	Illnesses considered included chronic cough, past bronchitis, blocked nose. (a) Every geographic area showed a clear gradient of increasing illness prevalence with decreasing social class. (b) Social classes I, II, and III showed no urban/rural gradient while IV and V showed a clear excess in frequency of chest illnesses among urban residents over rural residents.			
Lambert and Reid, 1970, England (146).	9,975 males and females responding to questionnaire survey.	 (a) The trend of increasing prevalence of bronchitic symptoms from rural to urban respondents was not negated by adjustment for smoking differences. (b) After adjustment for age and smoking habits, male respondents manifested a clear correlation of persistent cough and phlegm prevalence with increasing air pollution. Correlation was not as striking in females. (c) Although the proportionate rise in symptom prevalence increased with air pollution similarly in each smoking group, the absolute differences in morbidity risk increased with increased cigarette consumption, suggesting synergistic influences of cigarette smoking and air pollution. (d) In the absence of cigarette smoking, the correlation between the prevalence of persistent cough and phlegmand air pollution was slight. 			

¹ See Glossary of Terms: Bronchopulmonary table A4.

Table A7.—Epidemiological studies concerning the relationship of occupational exposure and smoking to chronic obstructive bronchopulmonary disease

Author, year, country, reference	Number and type of population	Results			
Higgins 185 males et al. (84 nonminers, 1956, 101 miners) England without pneumo- (119). coniosis.		Miners showed increased symptom prevalence (breathlessness, cough, sputum). Miners showed increased prevalence of chronic bronchitis. Miners showed decreased MBC. ¹ Differences in smoking between the two groups did not account for above differences.			
Phillips et al., 1956, U.S.A. (185).	1,274 males factory employees (coke and electrolytic process).	None of the industrial environments were associated wit an increased prevalence of chronic cough. Cigarette smoking and age were directly correlated wit increased prevalence of chronic cough.			
Higgins 325 males 25-34 et al., years of age and 1959, 401 males 55-64 England years of age in various occupations.		Miners as compared to workers in non-dusty occupations 25-34 years of age—significantly increased prevalence of chronic bronchitis and MBC abnormalities. 55-64 years of age—less significantly increased prevalence of chronic bronchitis and MBC abnormalities than in 25-34 years of age group. No smoking information available.			
Chivers, 463 males in 1959, non-dusty and England dusty occupations (52). (lime and soda ash exposure).		No significant differences in PEFR 1 between dusty and non-dusty groups. Cigarette smoking (especially in those >40 years of age was associated with decreased PEFR values.			
Higgins 300 male miners and 300 male Cochrane, 1961, years of age. England (115).		Miners showed increased prevalence of symptoms and d creased MBC values which remained even after standar ization for smoking habits. Total dust exposure was not directly correlated with the findings. Wives of miners showed similar symptom and test chang as compared with wives of nonminers.			
Brinkman 1,317 males 40-65 and years of age Coates, with various 1962, silica exposure U.S.A. histories. (42).		Increased silica exposure was associated with an increas prevalence of chronic bronchitis. Highest prevalence of chronic bronchitis was noted in the non-exposed group; and this group was noted to ha the highest number of smokers and highest consumption			
Hyatt 267 male miners et al., and ex-miners 1964, 45-55 years U.S.A. of age. (128).		Increased history of underground work was associated wi an increased bronchopulmonary symptom prevalence as decreased pulmonary function values. The impairment of pulmonary function associated wi underground work was separate from effect of smokin but smoking and underground work did show additi- effects.			
et al., female flax toms also stands also stands flat toms also stands flat toms.		Preparing room workers who manifested byssinosis symtoms also showed an increased prevalence of chron bronchitis independent of age or smoking when compar with non-preparing room workers. Female workers manifested a significant association between byssinosis symptoms and smoking while male workers did not.			
Sluis-Cremer et al., 1967, South Afric (209).	827 miners and nonminers over 35 years of age,	Those smokers exposed to gold mine dust manifested mosymptoms of COPD 1 than did non-dust exposed smoker while prevalence of symptoms, among nonsmokers, we similar for the two groups.			

Table A7.—Epidemiological studies concerning the relationship of occupational exposure and smoking to chronic obstructive bronchopulmonary disease (cont.)

Author, year, country, reference	Number and type of population	Results		
Sluis-Cremer 827 miners and et al., 1967, nonminers over South Africa 35 years of age. (209). (cont.)		The dose relationship of cigarettes and COPD 1 symptoms was much more noticeable among those exposed to dust. The authors stressed the synergistic actions of cigarette smoking and dust exposure.		
Bouhuys et al., 1969, U.S.A. (39).	455 male cotton textile workers (214 exposed to dust in carding and spinning rooms, 241 not exposed).	Those exposed to dust manifested a significantly greater prevalence of byssinosis symptoms than nonexposed. Smokers manifested a significantly greater prevalence of byssinosis symptoms than nonsmokers. No significant differences in Monday morning FEV¹ values were observed between smokers and nonsmokers. Prevalence of byssinosis symptoms did not show any relationship to length of employment.		
Bouhuys et al., 1969, U.S.A. (98).	216 male hemp workers and 247 workers in other industries in same region, 20-69 years of age.	Hemp workers (especially the older ones) were noted to have different smoking habits from control group—fewer heavy smokers, more light smokers, more ex-smokers due to doctor's orders. Aged 20-49—a. No difference in FEV _{1.0} values between controls and hemp workers in any smoking category. b. No difference in FEV _{1.0} values between men in different smoking categories. Aged 50-69—a. Hemp workers manifested decreased FEV _{1.0} values in all smoking groups except for heaviest smokers. Ex-smokers had lowest FEV _{1.0} values. b. Those smoking most had lower FEV _{1.0} values as compared with light and non-smokers.		
		The authors conclude that: There appears to be no synergism between smoking and hemp exposure as to effect on FEV _{1.0} although the selection process whereby those with symptoms have a greater tendency to stop smoking may obscure such a relationship.		
Chester et al., 1969, U.S.A. (49).	139 male chlorine plant workers (55 with history of severe ex- posure).	Chlorine-exposed group manifested no difference in symptoms and a decreased MBC value when compared with non-exposed group. Smokers in chlorine-exposed group had significantly decreased MBC and FEV values as compared with non-smokers in non-exposed group.		
Greenberg et al., 1970, England (97).	121 workers in washing powder factory (48 found to be sensitized to product, 73 not).	Sensitized group manifested lower FEV _{1.0} /FVC ¹ values as compared with nonsensitized group even after smoking habits were controlled for.		
Tokuhata et al., 1970, U.S.A. (218).	801 male miners	Increased mine exposure was associated with residual vol- ume and FEV abnormalities even after adjustments for age and smoking. A systematic exposure-impairment relationship was noted only among smokers while relatively few nonsmokers showed COPD impairment. Smoking miners manifested more X-ray alterations and COPD symptoms than nonsmokers, regardless of num- ber of years of underground exposure.		

¹ See Glossary of Terms in Bronchopulmonary table A4.

 $\begin{array}{l} \textbf{Table A10.--} Experiments \ concerning \ the \ effect \ of \ the \ chronic \ inhalation \ of \ NO_2 \\ upon \ the \ tracheobronchial \ tree \ and \ pulmonary \ parenchyma \ of \ animals \end{array}$

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Author, year, country, reference	Animal	Results
Freeman and Haydon, 1964 U.S.A. (90).	Sprague-Dawley rats.	25 p.p.m.: (a) after 37-41 days—moderate hypertrophy and hyperplasia of bronchial and bronchiolar epithelium. (b) after 146-157 days—(1) Advanced hypertrophy and hyperplasia of bronchial and bronchiolar epithelium. (2) Increased lung volume. (3) Proliferation of connective tissue.
Haydon et al., 1965 U.S.A. (107).	Sprague-Dawley rats.	 12.5 p.p.m. to death: (a) Hypertrophy and occasional metaplasia of bronchial and bronchiolar epithelium. (b) Increase in number of actively secreting goblet cells.
Haydon et al., 1967 U.S.A. (106).	Albino rabbits.	8-12 p.p.m. for 4 months: (a) Abnormal dilatation of peripheral air spaces. (b) Decreased density of alveolar walls, (c) Hypertrophy and hyperplasia of bronchial epithelium (especially terminal bronchiolar). (d) Increase in size of alveolar ducts. (e) Increased elastic tissue staining. (f) Increased alveolar size.
Freeman et al., 1968, U.S.A. (91).	Sprague-Dawley rats.	0.8 p.p.m2 p.p.m. for entire lifespan: (a) Alveolar distention. (b) Reduction in number of cilia. (c) Epithelial inactivity ("dormancy").
Freeman et al., 1968, U.S.A. (89).	Sprague-Dawley rats.	18 p.p.m. (a) 5 days—terminal bronchiolar epithelial hypertrophy. (b) 4 weeks—(1) Widespread bronchiolar epithelial hypertrophy. (2) Non-necrotizing emphysema.
Blair et al., 1969, U.S.A. (32).	Female Swiss Albino mice.	0.5 p.p.m.: (a) 6 hours/day for 3 months—pneumonitis. (b) 24 hours/day for 3 months—(1) Respiratory bronchiolar obstruction. (2) Alveolar expansion and bronchiolar inflammation consistent with early focal emphysema.
Kleinerman, 1970, U.S.A. (136).	Male Syrian Golden hamsters.	100 p.p.m. for 5½ hours: (a) thymidine autoradiography—intense burst of proliferation of epithelium returning to normal in 4 days (more persistent distally). (b) electron microscope—(1) Decreased number of secretory cells + secretory granules. (2) Increased number of lysosomal structures. (3) No change in number of ciliated cells.

Table A13.—Experiments concerning the effect of cigarette smoke or its constituents upon ciliary function

Author, year, country, reference	System	Method ¹	Results
Mendenhall and Shreeve, 1937, U.S.A. (164).	In vitro: Calf trachea	Cigarette smoke by direct appli- cation or in solution.	Controls—ciliary activity depressed approximately 4 percent. Experimental—ciliary activity depressed approximately 40 percent.
Rakieten et al., 1942, U.S.A. (188).	In vitro: (a) rabbit and rat trachael rings. (b) human nasal mucous membrane	I. Nicotine in Locke-Ringers solution. II. Cigarette smoke in solution.	 I. Ciliary activity depressed only upon exposure to 100 mg. percent solution. II. Ciliary activity depressed after 15-20 minutes exposure depending on concentration of smoke in solution.
Kordik et al., 1952, England (137)	In vitro: Rabbit trachea	Nicotine in Locke's solution.	Nicotine at 10^{-5} g./cc had no effect on ciliary activity.
Hilding, 1956, U.S.A. (120).	In vitro: Cow trachea	Cigarette smoke (direct exposure).	All tracheas showed depressed or absent ciliary activity.
Krueger and Smith, 1958, U.S.A. (189).	In vivo: Rabbit trachea	Cigarette smoke.	Cigarette smoke decreased ciliary activity by approximately 200 beats/minute.
Dalhamn, 1959, Sweden (59).	In vivo: I. Rat trachea In vitro: II. Rabbit trachea III. Human ciliated mucosa	Cigarette smoke.	 I. 7/10 showed cessation of ciliary activity after one exposure. II. 6/10 showed cessation of ciliary activity after one exposure. III. 6/7 showed cessation of ciliary activity after one cigarette exposure.
Falk et al., 1959 U.S.A. (80).	In vitro: Rat and rabbi tracheal epithelium.	Cigarette smoke. t	Decreased ciliary activity noted on exposure to cigarette smoke: (a) Repetitive exposure was associated with persistence of response over longer periods of time. (b) "Tar"-rich cigarette was more inhibitory than "tar"-poor. (c) Filtered smoke was less inhibitory than unfiltered.
Ballenger, 1960, U.S.A. (25).	In vitro: Human bronchial and trachea epithelium obtained during anesthesia.	Cigarette smoke in solution. !	Ciliary activity was fully inhibited within 5-28 minutes of exposure depending upon concen tration of smoke in solution.

Table A13.—Experiments concerning the effect of cigarette smoke or its constituents upon ciliary function (cont.)

Author,			
year, country, reference	System	Method 1	Results
Wynder et al., 1963, U.S.A. (236).	In vivo: Fresh water mussel ciliated epithelium.	Cigarette smoke: and its fractions in solution.	Unfiltered cigarette smoke—ciliastasis by 2nd- 5th puff. Acid (phenolic) fraction solution—immediate ciliastasis. Whole extract fraction solution—no ciliastasis. Neutral fraction solution—no ciliastasis. percent phenol solution—immediate ciliastasis.
Dalhamn and Rylander, 1964, Sweden (61).	In vivo: Cat trachea.	Cigarette smoke.	Unfiltered cigarettes—ciliastasis in 3/5 cats after 5 cigarettes. Filtered cigarettes—no ciliastasis after 8 cigarettes (5 cats). Controls—no ciliastasis (5 cats).
Ballenger et al., 1965. U.S.A. (26).	In vitro: Human ciliated tracheal epithelium obtained during. anesthesia.	Nicotine in solution.	Initial stimulation of activity followed by de- cline and complete ciliastasis after 12-24 hours of exposure.
Dalhamn and Rylander, 1965, Sweden (62).	In vivo: Cat trachea.	Cigarette smoke.	The longer the time interval between exposures, the more puffs were required to cause ciliastasis.
Wynder et al., 1965, U.S.A. (285).	In vivo: Fresh water mussel ciliated epithelium	Various compounds in solution.	Formic, acetic, propionic, benzoic acids all more ciliatoxic than phenol. Oxalic acid less ciliatoxic than phenol. Formaldehyde, acrolein more ciliatoxic than phenol.
Carson et al., 1966, U.S.A. (44).	In vivo: Cat trachea.	Cigarette smoke.	Percent decrease in ciliary activity Control 0 Unfiltered smoke 53 Cellulose acetate filter 45 Carbon cellulose acetate filter 30
Dalhamn, 1966, Sweden (60).	In vivo: Cat trachea.	Cigarette smoke.	Mean number of puffs required to produce ciliastisis No filter 91 Charcoal filter 170 Commercial cellulose acetate filter 194 Charcoal and acetate filter 512 Cambridge filter 600
Kensler and Battista, 1966, U.S.A. (135).	In vivo: Rabbit trachea, cat trachea, dog trachea monkey trachea. rat trachea	,	Rabbit trachea—Total smoke condensate of 3 cigarettes, gas phase condensate of 7 cigarettes caused similar ciliastasis. Other species—All found sensitive to ciliastatic components of cigarette smoke. Bulk of activity noted in gas phase (HCH, formaldehyde, acrolein).

Table A13.—Experiments concerning the effect of cigarette smoke or its constituents upon ciliary function (cont.)

Author, year, country, reference	System	Method ¹	Results
Dalhamn and Rylander, 1967. Sweden (63).	In vivo: Cat trachea.	Cellulose acetate- filter cigarettes with varying amounts of "tar" but simi- lar gas phases.	Increased amounts of tar were associated with decreased number of puffs required to inhibit ciliary activity.
Dalhamn and Rylander, 1968, Sweden (64).	In vivo: Cat trachea.	Unfiltered and Cambridge-filter cigarettes.	Whole smoke found to be markedly more toxic to ciliary activity than volatile (gas) phase at lower dosages (puff volume). This differ- ence diminishes with increasing puff volume.
Kaminski et al., 1968, U.S.A. (183).	In vivo: Cat trachea.	Whole and filtered cigarette smoke exposed or unex- posed to "wet chamber" made to stimulate oral mucosa and saliva.	Wet chamber adsorption significantly reduced the ciliastatic activity of whole smoke, but did not affect the ciliastatic activity of smoke previously filtered by Cambridge or charcoal filters.
Krahl and Bulmash, 1969, U.S.A. (138).	In vivo: Common mollusk ciliated epithelium.	Cigarette smoke dissolved in sea water.	Significant ciliastasis, reversible.
Battista and Kensler, 1970, U.S.A. (28).	In vitro: Chicken tracheal epithelium.	Cigarette smoke or HCN in Tyrode's solution.	The authors observed that: (1) The more diluted smoke required more puffs to cause ciliastasis. (2) Activated charcoal filtered smoke was less ciliastatic than cellulose acetate filtered smoke and also contained less HCN and acrolein. (3) HCN alone was ciliastatic but recovery was more rapid than after cigarette smoke alone. They conclude that the gas phase component are more related to ciliastasis (as particulate matter is not significantly decreased by charcoal filtration while HCN and acrolein are)
Battista and Kensler, 1970, U.S.A. (29).	In vivo: Hen trachea.	Cigarette smoke.	The authors observed that: (1) Whole smoke acutely depressed ciliary activity in 4-6 puffs. (2) Gas phase was only slightly less depressant than whole smoke. (3) Chronic exposure (1 cigarette/day fo 32 days) to smoke resulted in no apparent permanent defect in ciliary activity (all though mucous production was significantly increased).

Table A13.—Experiments concerning the effect of cigarette smoke or its constituents upon ciliary function (cont.)

Author, year, country, reference	System	Method 1	Results
Dalhamn and Rylander, 1970, Sweden (65).	In vivo: Cat trachea.	Unfiltered cigarette and cigar smoke.	Average number of puffs required to arrest ciliary activity Cigarette smoke
Kennedy and Elliott, 1970, U.S.A. (184).	In vivo: Protozoan (ciliated).	Mainstream cigarette smoke.	Electron microscopic observations: (1) After 7 minutes exposure—alteration of mitochondrial structure. (2) After 42 minutes exposure—destruction of internal mitochondrial membrane structure. (3) Gas phase alone, while ciliatoxic, did cause mitochondrial swelling but no disruption of membrane structure.

 $^{^{\}mbox{\scriptsize 1}}$ Unless otherwise stated, method entailed the direct observation of ciliary activity using markers.

Table A14.—Experiments concerning the effect of cigarette smoke on pulmonary surfactant and surface tension

Author, year, country, reference	System	Method	Results (1) Exposure to cigarette smoke was associated with decreased surface tension in lung extract. (2) Surface tension of rats (lung extracts) exposed to cigarette smoke was decreased as compared with those not exposed.				
Miller and Bondurant, 1962, U.S.A. (165)	Rat lung extracts	Cigarette smoke: (1) Applied to extract. (2) Exposure of rats.					
Cook	40 subjects undergoing		Surface tension				
and Webb 1966, U.S.A.	bronchoscopy: 14 normal 7 nonsmokers with		values oj	surfactan 20 percent area		Stability index (reflects surfactant activity)	† Values significantly different from values of normals
(57)	pulmonary disease 19 smokers with and without pulmonary		Normal Pulmonary	6.5	60.0	1.61	at p<0.02 level.
	disease.		patients Chronic smokers	†17.0 15.7	†50.0 51.0	1.00 1.04	
Giammona 1967, U.S.A. (94)	In vitro: Surfactant material induced from dogs and rats. In vivo: Dogs, cats, and guinca pigs.	Exposed to cigarette smoke for 3 hours/day for up to 3 weeks.	 In vitro: Exposure to cigarette smoke was associated with a significant decrease in maximal surface tension. In vivo: Dogs and cats (exposed for 1 week)—no significant change. Guinea pigs (exposed for 3 weeks)—significant decrease in maximal surface tension. 				
Webb.	Bronchial	Direct	Surface tension values of surfactant 20 vercent 100 vercent				
et al. 1967,	washing, from	exposure to cigarette smoke.		Number	area	area	Stability index
U.S.A. (224)	dog lungs.		Control Smoke	11 10	$\binom{7.1}{18.7}$ (p.	<0.002 60.7 45.8 (p <0.00	02) 1.60 0.84